



An Evaluative Study of Diffusing Lung Capacity in Normal young adults Aged between 16 to 29 in Mumbai Metropolitan

Authors

Dr Arjun Ramaswamy, Dr P.V. Potdar, Dr Shubham Dewedi

MGMHS

Abstract

Pulmonary function test in the form of spirometry, measurement of lung volume including total lung capacity (TLC), functional residual capacity (FRC), Residual volume (RV) & single breath diffusion capacity measurement were done in 100 healthy young volunteers from Mumbai metropolitan region area. Regression equations were derived for young men & women for predicting normal values of diffusion capacity (DLCO).

Introduction

Lung functions in normal healthy individual depends on, age, sex, height, ethnicity, physical activity, environmental conditions, altitude & socioeconomic status. Body weight was found to be one of the variable determining DLCO in Spanish females¹ though this remains controversial. India being a multiethnic country the criteria for normal can vary from place to place. Various geographical and climatic conditions in a vast country like India could also contribute to variation in normal values. Rapid urbanization, globalization & free market economy have caused shift of population from rural to urban areas in the form of metropolitan cities. Mumbai metropolitan area is a large urbanized conglomerate with people from all over India. In a cosmopolitan city like this patients often have mixed ethnic background. Various Indian authors have derived prediction formulas for distinct ethnic background population like north Indians⁵ and Tamils⁴

Ideally prediction equation for each ethnic group should be prepared with the help of large sample size & standardized equipment & fixed concentration of inspired oxygen across a wide range of age

DLCO measurement as a part of pulmonary function test is important in evaluation of patients with emphysema, interstitial lung disease & pulmonary vascular disease.

Extrapolation of prediction equation prepared from young normal to elderly normals is not acceptable as proved by studies in normal population over the age of 70 in European¹⁰ & American¹¹ populations.

Lastly methodical difference would also contribute to differences in prediction equations. Though the current methods are automated the difference in gas analysis, flow measuring devices; analogue to digital converters might influence the normal values.^{12,13,14}

In a mixed ethnicity population like Mumbai where 16 major languages are spoken & 8 major

religions groups live for generations it is often a challenging to define what represents a normal or astandard. With this problem in mind we set out to find normal values for diffusion capacity for this area.

Methods

The study was approved by the institutional ethical committee.

Subject Selection – In total 100 subjects were studied which included 61 males & 39 females. Subjects were healthy students undergoing post graduate & undergraduate studies of our institute. Normals were defined as asymptomatic, non-smoker with no past history of any respiratory & cardiac illness, chest injury or operation. All subjects had normal physical examination; chest radiography & 12 lead ECG.

A written informed consent was taken from all the subjects.

Subject were tested in the morning hours between 10 am to 1 pm .PFT Lab room temperature varied between 21 to 27⁰ C.Spirometry & DLCO were performed by a computer based automated system (Master lab pro – vision 4.3 from JAEGER – GERMANY).

Volume calibration was performed daily. Gas analyzer calibration was performed weekly

The test procedure was explained and demonstrated to the subject before test. Spirometry & DLCO was measured in a sitting position with nose clips &breathing through a mouthpiece of the spirometer. At least three vital capacities were obtained in each subject.DLCO was measured by single breath method DLCO–SB (modified Krogh method). Total lung capacity was measured by helium dilution method together with the measurement of DLCO – SB. Since measurement procedure of DLCO SB involves the same spirometry-breathing maneuver, after exhaling to the residual volume, the subject inhaled maximally, a gas mixture containing 0.29 % Co – 9%, Helium & 27% oxygen for the inspiratory bag of the diffusion apparatus.This is followed by breath – holding at full lung

inhalation & then performing a rapid exhalation washing out dead space air followed by collection of alveolar sample in the expiratory bag. The concentration of CO& helium in the inspired & expired gas were analyzed. Inspired volume should be more than 85- 90 % of the previously measured vital capacity & inspiration was completed within 2 – 3 seconds. Breath holding time was set at 9seconds. Valsalva or miller maneuvers were avoided. Effective breath holding time was calculated using method of Jones and Mead and kept between 10 +/- 2 seconds. Expiratory maneuver was smooth, unforced & without interruption .The total exhalation time was less than 4 seconds with sample collection time less than 3 seconds. The DLCO was expressed in mill moles co/min/mm hg at standard temperature & pressure. dry. (STPD) It was corrected for hemoglobin. Correction for altitude was not requested as Mumbai metropolitan region area is sea level.

Statistical analysis was carried out. Data are presented as mean +/- standard deviation. Pearson's correlation analysis & univariate regression were carried out to identify significant predictor variables for DLCO, VA. Gender specific linear prediction equations were developed by simple regression analysis with DLCO value as department variable.

Results

Demographic details of all patients together & male, females separately are shown in table 1-4
Mean age of male group was 24.85 (STD – 2.064)
Mean height of male group was 169.82 cm (STD – 10.33)

Mean BMI of male group was 22.20(STD –3.83).
The corresponding values in 39 females were 24.18 yrs (STD 3.042), 164.54 (STD -10.13)& 22.41 (STD 3.98) respectively.

Spirometric data, DLCO & TLC for all 100 subjects, 61 males & 39 females are presented in table 5, 6, & 7 respectively.

The mean DLCO for male group (61) was 8.28 mmol (STD 1.158) minimum 4.04 & maximum 11.39

The mean DLCO for female group (39) was 7.78 (STD 1.71), minimum 4.30 & maximum 11.28.

Mean TLC in male group was 4.57 (STD.82) & in female group was 4.32(STD 0.95)

Mean alveolar volume in male & female group was 4.48 (STD 0.78) & 4.19 (STD 0.93) respectively.

Correlation matrix for male and female are given in table 8 & 9 respectively

Linear regression & prediction formula for DLCO in males is presented in table 10 & 11

Linear regression & prediction formula for alveolar volume in males is presented in table 12 & 13.

Linear regression & prediction formula for DLCO & alveolar volumes in females are presented in Table 14 15 16 & 17 respectively

Table 1: Age group and Sex wise distribution of subjects

| Age group | Sex | | Total |
|-------------|--------|------|-------|
| | Female | Male | |
| 15 – 19 | 2 | 0 | 2 |
| 20 – 24 | 15 | 28 | 43 |
| 25 – 29 | 22 | 33 | 55 |
| Total (%) | 39 | 61 | 100 |

Table 2: Overall

| Parameters | N | Min | Max | Mean | SD |
|---------------|-----|--------|--------|----------|----------|
| Age (yrs) | 100 | 16 | 29 | 24.59 | 2.499 |
| Weight (kg) | 100 | 30.00 | 100.00 | 63.0400 | 13.75685 |
| Height (cms) | 100 | 140.00 | 184.00 | 167.7600 | 10.52186 |
| BMI | 100 | 15.30 | 35.70 | 22.2820 | 3.87114 |
| HB | 100 | 11.00 | 18.00 | 14.2230 | 1.30669 |

Table 3: Male

| Parameters | N | Min | Max | Mean | SD |
|---------------|----|-------|-------|--------|-------|
| Age (yrs) | 61 | 21 | 28 | 24.85 | 2.064 |
| Weight (kg) | 61 | 44 | 90 | 64.89 | 12.22 |
| Height (cms) | 61 | 140 | 184 | 169.82 | 10.33 |
| BMI | 61 | 15.60 | 35.70 | 22.20 | 3.83 |
| HB | 61 | 11.0 | 16.0 | 14.34 | 1.09 |

Table 4: Female

| Parameters | N | Min | Max | Mean | SD |
|---------------|----|-------|-------|--------|-------|
| Age (yrs) | 39 | 16 | 29 | 24.18 | 3.042 |
| Weight (kg) | 39 | 30 | 100 | 61.51 | 15.91 |
| Height (cms) | 39 | 140 | 182 | 164.54 | 10.13 |
| BMI | 39 | 15.30 | 30.90 | 22.41 | 3.98 |
| HB | 39 | 11.0 | 18.0 | 14.04 | 1.59 |

Table 5: Spirometric, Total Lung Capacity & Diffusion Capacity values for all 100 patients

| | FEV1 | FVC | DLCO / SB | VA | DLCO/VA | TLC /SB |
|---------------|--------|--------|-----------|--------|---------|---------|
| Mean | 3.0159 | 3.5234 | 8.0885 | 4.3699 | 1.8509 | 4.47726 |
| Std Deviation | 0.5997 | 0.7366 | 1.414 | 0.8557 | 1.794 | 0.88211 |
| Minimum | 1.68 | 1.68 | 4.04 | 2.57 | 2.373 | 2.05 |
| Maximum | 4.44 | 5.24 | 11.39 | 6.47 | 1.693 | 6.57 |

Table 6: Males

| Pulmonary Function (Male) | Minimum | Maximum | Mean | Std. Deviation |
|---------------------------|---------|---------|--------|----------------|
| FEV1 | 2.30 | 4.44 | 3.1784 | .5597 |
| FVC | 2.74 | 5.24 | 3.6649 | .6158 |
| DLCO/ SB | 4.04 | 11.39 | 8.2841 | 1.1581 |
| VA | 3.11 | 6.47 | 4.4839 | .7852 |
| DLCO / VA | 1.53 | 3.25 | 1.9036 | .2348 |
| TLC / SB | 2.05 | 6.57 | 4.5720 | .8253 |

Table 7: Females

| Pulmonary Function | Minimum | Maximum | Mean | Std. Deviation |
|--------------------|---------|---------|--------|----------------|
| FEV1 | 1.68 | 4.17 | 2.7618 | .5777 |
| FVC | 1.68 | 4.69 | 3.3021 | .8558 |
| DLCO/ SB | 4.30 | 11.28 | 7.7826 | 1.7132 |
| VA | 2.57 | 6.11 | 4.1915 | .9384 |
| DLCO / VA | 1.43 | 7.68 | 2.0174 | .9564 |
| TLC / SB | 2.65 | 6.24 | 4.3290 | .9563 |

Table 8: Correlation Matrix for Male (Number – 61)

| | | Age | Wt | Ht | FEV1 | FVC | DLCO | VA | TLC |
|----------|----------|--------|--------|--------|--------|--------|--------|--------|-------|
| FEV1 | R | .199 | .324* | .485** | | | | | |
| | P- value | .124 | .011 | .000 | | | | | |
| FVC | R | .369** | .355** | .495** | .924** | | | | |
| | P- value | .003 | .005 | .000 | .000 | | | | |
| DLCO SB | R | .108 | .160 | .358** | .747** | .717** | | | |
| | P- value | .408 | .218 | .005 | .000 | .000 | | | |
| VA | R | .209 | .159 | .462** | .838** | .856** | .797** | | |
| | P- value | .106 | .220 | .000 | .000 | .000 | .000 | | |
| TLC – SB | R | .171 | .215 | .492** | .801** | .822** | .727** | .923** | 1.000 |
| | P- value | .189 | .096 | .000 | .000 | .000 | .000 | .000 | |

** Correlation is significant at 0.01 level (2-tailed).i.e. P<0.01

* Correlation is significant at 0.05 level (2-tailed). i.e. P<0.05

Here Correlation Coefficient = r

Table 9: Correlation Matrix for Female (Number – 39)

| | | Age | Wt | Ht | FEV1 | FVC | DLCO | VA | TLC |
|----------|----------|------|--------|--------|--------|--------|--------|---------|-------|
| FEV1 | R | .253 | .445** | .351* | | | | | |
| | P- value | .121 | .005 | .029 | | | | | |
| FVC | R | .243 | .510** | .433** | .924** | | | | |
| | P- value | .137 | .001 | .006 | .000 | | | | |
| DLCO SB | R | .308 | .422** | .374* | .767** | .831** | | | |
| | P- value | .056 | .007 | .019 | .000 | .000 | | | |
| VA | R | .262 | .500** | .423** | .847** | .933** | .894** | | |
| | P- value | .107 | .001 | .007 | .000 | .000 | .000 | | |
| TLC - SB | R | .280 | .526** | .444** | .847** | .934** | .893** | 1.000** | 1.000 |
| | P- value | .084 | .001 | .005 | .000 | .000 | .000 | .000 | |

** Correlation is significant at 0.01 level (2-tailed).i.e. P<0.01

*Correlation is significant at 0.05 level (2-tailed). i.e. P<0.05

Here Correlation Coefficient = r

FEV1 and FVC are statistically significant at 5% level i.e., P<0.05, It shows that the pulmonary function of male has significant difference from female patients. But other parameters like

DLCO/SB , VA , DLCO/VA and TLC/SB are not statistically significant at 5% level that means there is no difference between males and females of their pulmonary function.

Table 10: Linear Regression for Male – DLCO

Linear Regression Analysis: Dependent Variable DLCO and it depends on independent variables Age, Height and weight

| Model | R | R ² | F Test | P – value | Significant at 5 % level |
|-----------------------|-------|----------------|--------|-----------|--------------------------|
| Linear Regression | | | | | |
| DLCO with Age ,Ht& Wt | 0.364 | 0.132 | 2.899* | 0.043 | Yes |

Table: 11 Prediction formula of DLCO (Male)

| Parameters | Equation | Adjusted R ² | St. Error of Estimate |
|------------|-----------------------------------|-------------------------|-----------------------|
| DLCO | 0.616+0.036xAge-0.003xWt+0.041xHt | 0.087 | 1.1067 |

Table: 12 Linear Regression Analysis: Dependent variable VA (Males)

| Model | R | R ² | F Test | P – value | Significant at 5 % level |
|-----------------------|-------|----------------|--------|-----------|--------------------------|
| Linear Regression | | | | | |
| VA with Age , Ht & Wt | 0.497 | 0.247 | 6.236 | 0.001 | Yes |

Table: 13 Prediction formulas VA (Males)

| Parameters | Equation | Adjusted R ² | St. Error of Estimate |
|------------|-------------------------------------|-------------------------|-----------------------|
| VA | -3.067+0.064xAge- 0.008xWt+0.038xHt | 0.207 | 0.6990 |

Table – 14: Linear Regression for Female – DLCO

Linear Regression Analysis: Dependent Variable DLCO and it depends on independent variables Age, Height and weight

| Model | R | R ² | F Test | P – value | Significant at 5 % level |
|-----------------------|-------|----------------|--------|-----------|--------------------------|
| Linear Regression | | | | | |
| DLCO with Age ,Ht& Wt | 0.432 | .186 | 2.670 | 0.063 | No |

Table 15: Prediction formula of DLCO (Females)

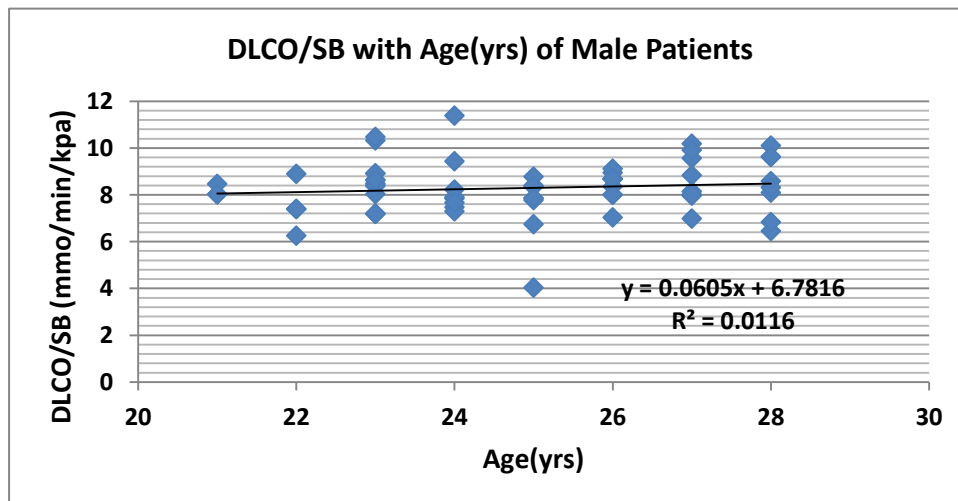
| Parameters | Equation | Adjusted R ² | St. Error of Estimate |
|------------|-----------------------------------|-------------------------|-----------------------|
| DLCO | 1.759+0.043xAge-0.030xWt+0.018xHt | 0.116 | 1.6103 |

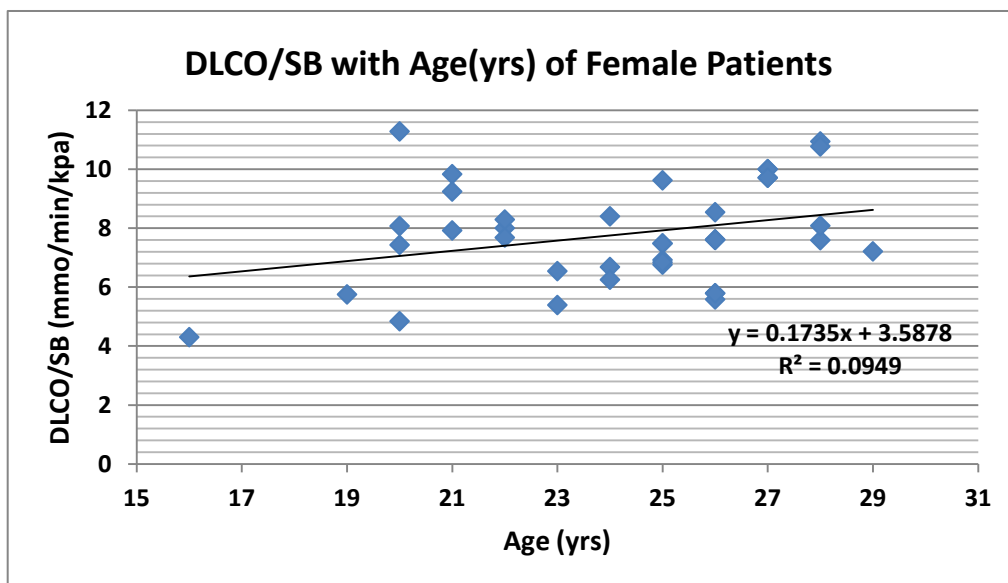
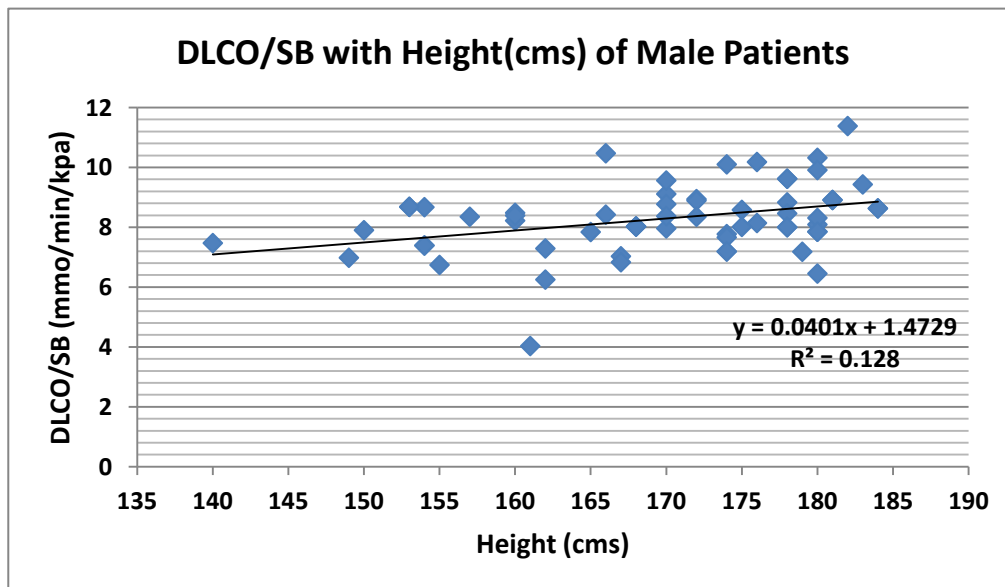
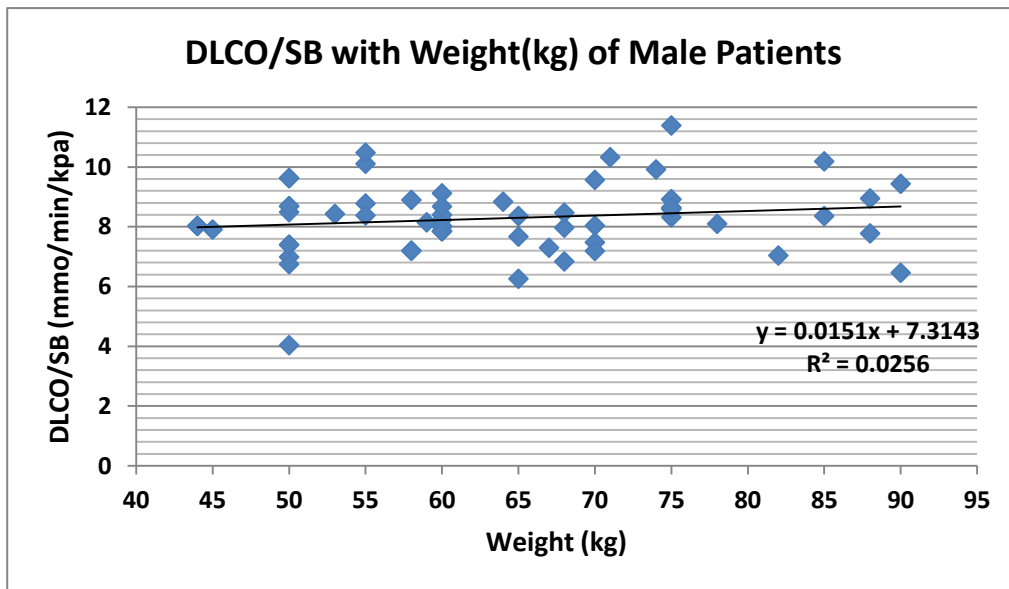
Table: 16 Linear Regression Analysis: Dependent Variable VA (Females)

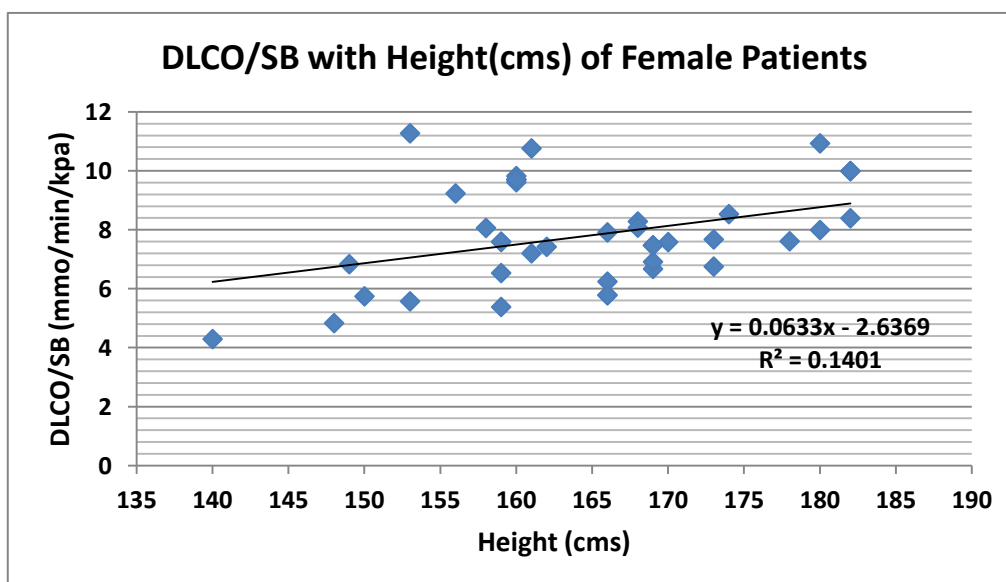
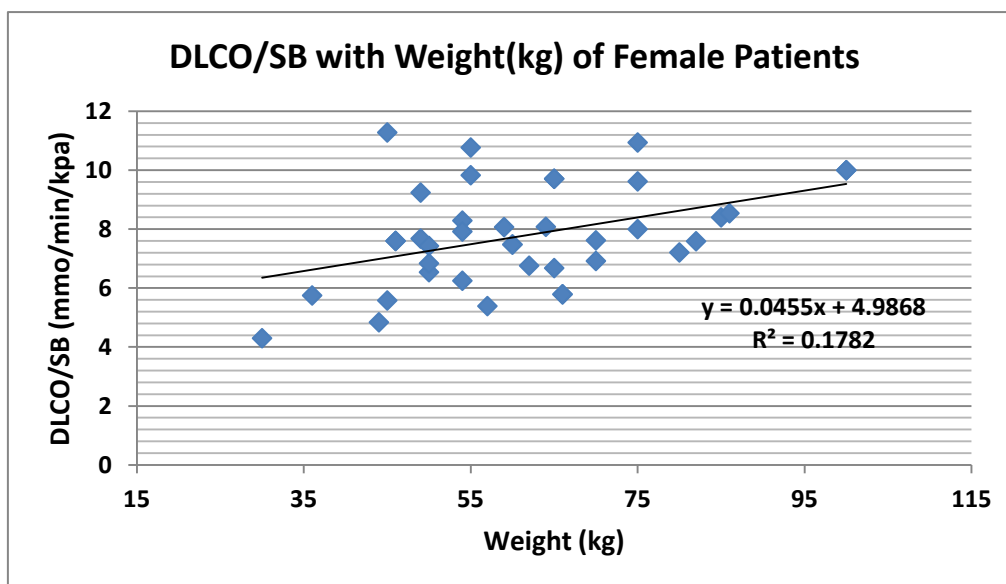
| Model | R | R ² | F Test | P – value | Significant at 5 % level |
|-----------------------|-------|----------------|--------|-----------|--------------------------|
| Linear Regression | | | | | |
| VA with Age , Ht & Wt | 0.506 | 0.256 | 4.009 | 0.015 | Yes |

Table: 17 Prediction formulas VA (Females)

| Parameters | Equation | Adjusted R ² | St. Error of Estimate |
|------------|------------------------------------|-------------------------|-----------------------|
| VA | 1.889-0.023xAge+0.028xWt+0.0066xHt | 0.802 | 0.297 |







Discussion

Pulmonary function test is an important component of assessment of patients suffering from cardiopulmonary ailments. Apart from diagnostic values, PFTs are important in the evaluation of impairment and disability. Diffusion capacity is of particular importance in the assessment of patients suffering from emphysema, intestinal lung disease and pulmonary vascular disease. Diffusion capacity can be measured by various techniques including the single breath, rebreathing and intra breathing methods. The single breathing method (DLCOsb) is most commonly used. DLCOsb is non invasive and can

be repeated easily to obtain multiple measurements. Varieties of automated DLCOsb systems are available.

The ATS ERS have published standardization guidelines for DLCOsb

Careful attention to standards and clinical practice guidelines can reduce the variability in DLCOsb measurements in different laboratories.

Despite of all precautions, DLCOsb values have deferred from lab to lab even in the population of the same ethnic origin. The guidelines issued by ATS ERS recommend use of reference equations appropriate to the lab methods and patient population.

Spirometric measurements in the form of FEV1, FVC are highly dependent on ethnic group also. (Apart from height age and gender). Hence variability of diffusion capacity from one race to another is not surprising.

Results of lung function studies in normal subjects are available for south⁵ north⁷ and West Indian subjects. In general south Indians had lower values for spirometry compared to north Indians and Caucasians. Based on earlier studies from India and abroad we had identified age height and weight as possible predictors of DLCO.

Using our prediction formula the DLCO value of an average young male patient was compared with different Indian equations. our value of 8.266 was closest to that of Udwadiya (8.6) and lower than that of south Indian and Caucasian populations respectively.

Using our prediction formula for female with age weight and height as variables DLCO was 4.039mmol/min. This value was significantly lower as compared to the values derived from other investigators. This is not explicable easily. Compared to other investigators our study is limited by small sample size. Variability with age and height also can't be assessed satisfactorily with such a narrow range of age height and weight.

Apart from variability related to sample size, other factors in the form of procedure variations like variable Fio2, patient cooperation are also important. Reliability of smoking status was not corroborated by carboxyHb measurements.

Conclusion:

The DLCO measurements using our prediction formula are comparable to those of Udwadiyas⁵ but significantly lower compared to those of Vijayans⁴. Taking into consideration multiethnic nature of population in metropolitan cities, we feel it is necessary to derive your own prediction formula, which is relevant to local population. In view of the small sample size we plan to check the validity of the prediction equation in a large sample size in the future.

References

1. Woolcock AJ, Colman MH, Blackburn CR. Factors affecting normal values for ventilatory lung function. *Am Rev Respir Dis.* 1972 Nov;106(5):692–709. [PubMed]
2. Jain SK, Ramiah TJ. Normal standards of pulmonary function tests for healthy Indian men 15-40 years old: comparison of different regression equations (prediction formulae). *Indian J Med Res.* 1969 Aug;57(8):1453–1466. [PubMed]
3. Jain SK, Ramiah TJ. Influence of age, height and body surface area on lung functions in healthy women 15-40 years old. *Indian J Chest Dis.* 1967 Jan;9(1):13–22. [PubMed]
4. Kamat SR, Thiruvengadam KV, Rao TL. A study of pulmonary function among Indians and assessment of the Wright peak flow meter in relation to spirometry for field use. *Am Rev Respir Dis.* 1967 Oct;96(4):707–716. [PubMed]
5. Udwadia FE, Sunavala JD, Shetye VM. Lung function studies in healthy Indian subjects. *J Assoc Physicians India.* 1987 Jul;35(7):491–496. [PubMed]
6. Cotes JE, Dabbs JM, Elwood PC, Hall AM, McDonald A, Saunders MJ. Iron-deficiency anaemia: its effect on transfer factor for the lung (diffusing capacity) and ventilation and cardiac frequency during sub-maximal exercise. *Clin Sci.* 1972 Mar;42(3):325–335. [PubMed]
7. Guleria JS, Sharma MP, Pande JN, Ramchandran K. Pulmonary diffusing capacity in normal Indian subjects. *Indian J PhysiolPharmacol.* 1970 Oct;14(4):245–251. [PubMed]
8. GOLDMAN HI, BECKLAKE MR. Respiratory function tests; normal values at median altitudes and the prediction of normal results. *Am Rev Tuberc.* 1959 Apr;79(4):457–467. [PubMed]
9. Ayub M, Zaidi SH, Burki NK. Spirometry and flow-volume curves in healthy, normal

- Pakistanis. *Br J Dis Chest*. 1987 Jan;81(1):35–44. [PubMed]
10. Miller GJ, Ashcroft MT, Swan AV, Beadnell HM. Ethnic variation in forced expiratory volume and forced vital capacity of African and Indian adults in Guyana. *Am Rev Respir Dis*. 1970 Dec;102(6):979–981. [PubMed]
11. da Costa JL. Pulmonary function studies in healthy Chinese adults in Singapore. *Am Rev Respir Dis*. 1971 Jul;104(1):128–131. [PubMed]
12. Cotes JE, Rossiter CE, Higgins IT, Gilson JC. Average normal values for the forced expiratory volume in white Caucasian males. *Br Med J*. 1966 Apr 23;1(5494):1016–1019. [PMC free article][PubMed]
13. Hall AM, Heywood C, Cotes JE. Lung function in healthy British women. *Thorax*. 1979 Jun;34(3):359–365. [PMC free article] [PubMed]
14. KORY RC, CALLAHAN R, BOREN HG, SYNER JC. The Veterans Administration-Army cooperative study of pulmonary function. I. Clinical spirometry in normal men. *Am J Med*. 1961 Feb;30:243–258. [PubMed]
15. Ferris Bg, Jr, Anderson Do, Zickmantel R. Prediction Values For Screening Tests Of Pulmonary Function. *Am Rev Respir Dis*. 1965 Feb;91:252–261. [PubMed]
16. BURROWS B, KASIK JE, NIDEN AH, BARCLAY WR. Clinical usefulness of the single-breath pulmonucy diffusing capacity test. *Am Rev Respir Dis*. 1961 Dec;84:789–806. [PubMed]
17. Bradley J, Bye C, Hayden SP, Hughes DT. Normal values of transfer factor and transfer coefficients in healthy males and females. *Respiration*. 1979;38(4):221–226. [PubMed]